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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/768,260 | |
| | Filing Date | 1/30/2004 | |
| | First Named Inventor | Balaban, David J. | |
| | Art Unit | Unknown | |
| | Examiner Name | Unknown | |
| Total Number of Pages in This Submission | 8 | Attorney Docket Number | 3068.5B |

| ENCLOSURES (check all that apply) | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): -1449 form (5 pages) -41 references -Return postcard |
| <div>Remarks</div> | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---------------------------|
| Firm or Individual name | Wei Zhou, Reg. No. 44,419 |
| Signature | |
| Date | 6-15-04 |

| CERTIFICATE OF TRANSMISSION/MAILING | | | |
|---|------------------|------|-----------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | |
| Typed or printed name | Christopher King | | |
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PATENT
Attorney Docket No. 3068.5B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants Balaban, David J.
Serial No: 10/768,260
Filing Date: 1/30/2004
Title: **Method and Apparatus for
Providing an Expression-
Mining Database**

Examiner: Unknown

Group Art Unit: Unknown

INFORMATION DISCLOSURE
STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for consideration in connection with the above-identified patent application.

Citation of these documents shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made; or (3) an admission that the information cited herein is, or is considered to be, material to patentability in any way, including that as defined in § 1.56(b).

Applicants believe that no fee is required for submission of this statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby

authorized to charge the required fees to Account No. 01-0431 in order to have this
Information Disclosure Statement considered.

Dated: 6-15-04

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'Wei Zhou', written in a cursive style.

Wei Zhou

Customer No. 22886
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

Of

5

Complete If Known

Application Number

10/768,260

Filing Date

1/30/2004

First Named Inventor

Balaban, David J.

Art Unit

Unknown

Examiner Name

Unknown

Attorney Docket Number

3068.5B

U.S. PATENT DOCUMENTS

| Examiner Initials * | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|------------------------|--------------------------|--|--------------------------------|--|---|
| | | Number - Kind Code ² (if known) | | | |
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Examiner
SignatureDate
Considered

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 2 Of 5

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|------------------------|-------------------|
| Application Number | 0/768,260 |
| Filing Date | 1/30/2004 |
| First Named Inventor | Balaban, David J. |
| Art Unit | Unknown |
| Examiner Name | Unknown |
| Attorney Docket Number | 3068.5B |

U.S. PATENT DOCUMENTS

| Examiner Initials * | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| Examiner Initials * | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
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| | | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | | | | |
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| | 15 | WO - WO 97-17317 | 05-15-1997 | | | |

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| | | | Application Number | 0768,260 | |
| | | | Filing Date | 1/30/2004 | |
| | | | First Named Inventor | Balaban, David J. | |
| | | | Art Unit | Unknown | |
| | | | Examiner Name | Unknown | |
| Sheet | 3 | Of | 5 | Attorney Docket Number | 3068.5B |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------------------|---|-----------------------------------|---|--|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | | | | |
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| | | | <i>Application Number</i> | 0768,260 | |
| | | | <i>Filing Date</i> | 1/30/2004 | |
| | | | <i>First Named Inventor</i> | Balaban, David J. | |
| | | | <i>Art Unit</i> | Unknown | |
| | | | <i>Examiner Name</i> | Unknown | |
| Sheet | 4 | of | 5 | <i>Attorney Docket Number</i> | 3068.5B |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|--------------------------|---|----------------|
| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and-or country where published. | T ² |
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| | |
|-----------------------|--------------------|
| Examiner Signature | Date Considered |
|-----------------------|--------------------|

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| | | | Application Number | 0768,260 | |
| | | | Filing Date | 1-30-2004 | |
| | | | First Named Inventor | Balaban, David J. | |
| | | | Art Unit | Unknown | |
| | | | Examiner Name | Unknown | |
| Sheet | 5 | of | 5 | Attorney Docket Number | 3068.5B |

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| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and-or country where published. | T ² |
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|--------------------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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